

NAME : Centbank Financial Services Limited
REGISTRATION NO : IND000000502
DATE OF REGISTRATION (DD/MM/YY) : 11/12/2009

COMPLIANCE CERTIFICATE FOR THE HALF-YEAR ENDED 30 SEPTEMBER 2022

a) No conflict of interests with other activities

The activities other than debenture trusteeship performed by DT are not in conflict with DT activities and appropriate systems and policies have been put in place to protect the interests of debenture holders.

b) Change in status or constitution

No Change between 01/04/2022 and 30/09/2022

c) Other Information

- Details of arrest / conviction of key officials of DT: **NIL**
- Details of prosecution cases or criminal complaints filed by investors against the DT: **NIL**
- Details of any fraudulent activity by the employees associated with DT activities and action taken by the DT: **NIL**
- Details of conviction of any offence involving moral turpitude or any economic offence by employees of DT: **NIL**
- Action taken by the DT on the above issues: **Not Applicable**

d) Compliance with registration requirements:

Certified that the requirements specified for SEBI registration as DT are fulfilled, the details are as under;

- Net worth (audited) as defined in the Regulations as on FY ended March 31, 2022: ₹ **35,38,17,570/-**
- Any change in infrastructure since the last report / registration/ renewal: **NIL**
- Changes in Key personnel during the half year ended) : **As per table below**

Name(s) of the key personnel	Appointment / Cessation	Date of appointment / cessation	Qualification
S Venkataraman	Cessation	16/06/2022	-
Sunil Kumar Naik	Appointment	19/07/2022	M.SC., JAIB
Himanshu Joshi	Cessation	29/07/2022	-
Rajesh Kumar	Appointment	27/09/2022	M SC, MBA (Finance) CAIIB
Mayank Dinesh Shah	Cessation	27/09/2022	-

e) Details of deficiencies and non-compliances: NIL

f) Details of the review of the report by the Board of Directors

- Date of Board Review : **18/10/2022**
- Observation of the Board of Directors on the deficiencies and non-compliances and corrective measures initiated: **NIL**



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Certified that we have complied with SEBI (Debenture Trustee) Regulations, 1993, applicable provisions of SEBI (Issue and Listing of Debt Securities) Regulations, 2008, Circulars issued by SEBI and any other laws applicable from time to time except the deficiencies and non-compliances those specifically reported at Section IV (E) above.

Name of Compliance Officer : Aarti Sharma
Email Id : aarti.sharma@cfsl.in



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**DETAILS OF OTHER ACTIVITIES CARRIED OUT BY DEBENTURE TRUSTEE
INCLUDING TYPE OF ACTIVITY, DESCRIPTION OF ACTIVITY etc.**

Sr	ACTIVITY TYPE	DESCRIPTION OF THE ACTIVITY	NUMBER OF CLIENTS
1	Security Trustee	Holding the securities on behalf of the Lenders (Long-term loans)	13
2	Executor & Trustee	Acting as Executors under Will, and Trustees of Family & Charitable Trusts	Approx. 1960

Name of Compliance Officer
Email Id

: Aarti Sharma
: aarti.sharma@cfsi.in

